

430 Rec'd PCT/PTO

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MAR 2000

PATENT

Attorney Docket No.: 84527-000000US

Client Reference No.: 2100709:PJT:SW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. National Phase of
PCT/AU98/00769 of:

GLEN NORMAN DICKINS, et al.

Application No.: Not yet assigned

Filed: Herewith

For: UTILIZATION OF FILTERING
EFFECTS IN STEREO HEADPHONE
DEVICES

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

San Francisco, CA 94111
March 15, 2000

Box PCT
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Respectfully submitted,

Robert J. Bennett
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FORM PTO-1449 (Modified)			Attorney Docket No.: 84527-000000US		Application No.: Not yet assigned	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Applicant: GLEN NORMAN DICKINS, et al.			
			Filing Date: Herewith		Group: Unassigned	
Reference Designation			U.S. PATENT DOCUMENTS			Page 1
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)
AA	5,371,799	12/6/1994	Lowe et al.			
AB	5,436,975	7/25/1995	Lowe et al.			
AC	5,809,149	9/15/1998	Cashion et al.			
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						
AL						
FOREIGN PATENT DOCUMENTS						
	Document No.	Date	Country	Class	Sub-class	Translation (Yes/No)
AM	195 14 105 A1	10/19/1995	Germany			
AN	43 32 504 A1	3/30/95	Germany			
AO	44 24 192 A1	1/26/1995	Germany			
AP						
AQ						
AR						
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
AS	Toole, Floyd E., "The future of stereo", in: <u>AUDIO</u> , June 1997, pp. 34-39					
AT	Flaherty, Nick, "3D audio: new directions in rendering realistic sound", in: <u>NOTEBOOK</u> , April 1998, pp. 49-50,52					
AU						
AV						
AW						
EXAMINER			DATE CONSIDERED			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.